

02/07/00  
jc662 U.S. PTO

0949556 02/07/00

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new non-provisional applications under 37CFR§1.53(b))		Attorney Docket No.	BWD:0537.069	
		First Inventor or Application Identifier		DAVIS, Paul
		Title	ELECTRONIC ACCESS CONTROL DEVICE	
		Express Mail Label No.	EL399607525US	

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
---	--

1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	5. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <b>39</b></span> (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Federally Sponsored Research - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure	6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer readable copy b. <input type="checkbox"/> Paper copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <span style="float: right;">Total Pages <b>16</b></span>	<b>ACCOMPANYING APPLICATION PARTS</b>
4. Oath or Declaration <span style="float: right;">Total Pages <b>2</b></span> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed) I. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)	7. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s)) 8. <input checked="" type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee 9. <input type="checkbox"/> English translation document (if applicable) 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input checked="" type="checkbox"/> Copies of IDS Citations 11. <input type="checkbox"/> Preliminary Amendment 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 13. <input checked="" type="checkbox"/> *Small Entity Statements (PTO/ sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired. 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. <input checked="" type="checkbox"/> Other - Check for \$550.00

\* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/264,246

Prior application information: Examiner Gall, L Group No./Art Unit 3627

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS					
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here)		or <input checked="" type="checkbox"/> Correspondence address below	
Name	Bruce W. DeKock				
Address	1600 ODS Tower, 601 S.W. Second Avenue				
City	Portland	State	Oregon	Zip Code	97204
Country	USA	Telephone	(503) 227-5631	FAX	(503) 228-4373
Name (print type)	Bruce W. DeKock	Registration No. (Attorney/Agent)	40,585		
Signature				Date	February 7, 2000

jc662 U.S. PTO  
0949556 02/07/00

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

Complete If Known

Application Number  
Filing Date February 7, 2000  
First Named Inventor DAVIS, Paul  
Examiner Name  
Group/ Art Unit  
Attorney Docket No. BWD:0357.069

JC710 U.S. PTO  
09/14/99 556  
02/07/00

TOTAL AMOUNT OF PAYMENT		\$550																																																																																																																																																																																																																																																																																																																																						
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																																																																																																																																																																						
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to: Deposit Account Number 03-1550 Deposit Account Name Chernoff Vilhauer McClung & Stenzel <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 & 1.17		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td></td><td></td><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td></td><td></td><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr> <tr><td></td><td></td><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td></td><td></td><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for reexamination</td><td></td></tr> <tr><td></td><td></td><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td></td><td></td><td>113</td><td>1840*</td><td>113</td><td>1840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td></td><td></td><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td></td><td></td><td>116</td><td>380</td><td>216</td><td>190</td><td>Extension for reply within second month</td><td></td></tr> <tr><td></td><td></td><td>117</td><td>870</td><td>217</td><td>435</td><td>Extension for reply within third month</td><td></td></tr> <tr><td></td><td></td><td>118</td><td>1,360</td><td>218</td><td>680</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td></td><td></td><td>128</td><td>1,850</td><td>228</td><td>925</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td></td><td></td><td>119</td><td>300</td><td>219</td><td>150</td><td>Notice of Appeal</td><td></td></tr> <tr><td></td><td></td><td>120</td><td>300</td><td>220</td><td>150</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td></td><td></td><td>121</td><td>260</td><td>221</td><td>130</td><td>Request for oral hearing</td><td></td></tr> <tr><td></td><td></td><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td></td><td></td><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td></td><td></td><td>141</td><td>1,210</td><td>241</td><td>605</td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td></td><td></td><td>142</td><td>1,210</td><td>242</td><td>605</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td></td><td></td><td>143</td><td>430</td><td>243</td><td>215</td><td>Design issue fee</td><td></td></tr> <tr><td></td><td></td><td>144</td><td>580</td><td>244</td><td>290</td><td>Plant issue fee</td><td></td></tr> <tr><td></td><td></td><td>122</td><td>130</td><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td></td><td></td><td>123</td><td>50</td><td>123</td><td>50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td></td><td></td><td>126</td><td>240</td><td>126</td><td>240</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td></td><td></td><td>561</td><td>40</td><td>581</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40</td></tr> <tr><td></td><td></td><td>146</td><td>690</td><td>246</td><td>345</td><td>Filing a submission after final rejection (37 C.F.R. 1.129(a))</td><td></td></tr> <tr><td></td><td></td><td>149</td><td>690</td><td>249</td><td>345</td><td>For each additional invention to be examined (37 C.F.R. 1.129(b))</td><td></td></tr> <tr><td></td><td></td><td colspan="6">Other (specify)</td><td></td></tr> <tr><td></td><td></td><td colspan="6">Other (specify)</td><td></td></tr> <tr><td></td><td></td><td colspan="6">* Reduced by Basic Filing Fee Paid</td><td></td></tr> <tr> <td colspan="2">SUBTOTAL (1)</td> <td colspan="2">\$345</td> </tr> <tr> <td colspan="2">2. EXTRA CLAIM FEES</td> <td colspan="2"></td> </tr> <tr> <td colspan="2"> <table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>34</td> <td>-20* = 14</td> <td>X 9 =</td> <td>126</td> </tr> <tr> <td>4</td> <td>-3* = 1</td> <td>X 39 =</td> <td>39</td> </tr> <tr> <td colspan="4">Multiple Dependent</td> </tr> </tbody> </table> </td> <td colspan="2"></td> </tr> <tr> <td colspan="2">         or number of previously paid, if greater. For reissues, see below.  <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td></td><td></td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>78</td><td>202</td><td>39</td><td></td><td></td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>260</td><td>204</td><td>130</td><td></td><td></td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>78</td><td>209</td><td>39</td><td></td><td></td><td>*Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td></td><td></td><td>*Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table> </td> <td colspan="2"></td> </tr> <tr> <td colspan="2">SUBTOTAL (2)</td> <td colspan="2">\$165</td> </tr> <tr> <td colspan="2">SUBTOTAL (3)</td> <td colspan="2">\$40</td> </tr> </tbody></table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid			105	130	205	65	Surcharge - late filing fee or oath				127	50	227	25	Surcharge-late provisional filing fee or cover sheet				139	130	139	130	Non-English specification				147	2,520	147	2,520	For filing a request for reexamination				112	920*	112	920*	Requesting publication of SIR prior to Examiner action				113	1840*	113	1840*	Requesting publication of SIR after Examiner action				115	110	215	55	Extension for reply within first month				116	380	216	190	Extension for reply within second month				117	870	217	435	Extension for reply within third month				118	1,360	218	680	Extension for reply within fourth month				128	1,850	228	925	Extension for reply within fifth month				119	300	219	150	Notice of Appeal				120	300	220	150	Filing a brief in support of an appeal				121	260	221	130	Request for oral hearing				138	1,510	138	1,510	Petition to institute a public use proceeding				140	110	240	55	Petition to revive - unavoidable				141	1,210	241	605	Petition to revive - unintentional				142	1,210	242	605	Utility issue fee (or reissue)				143	430	243	215	Design issue fee				144	580	244	290	Plant issue fee				122	130	122	130	Petitions to the Commissioner				123	50	123	50	Petitions related to provisional applications				126	240	126	240	Submission of Information Disclosure Statement				561	40	581	40	Recording each patent assignment per property (times number of properties)	40			146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1.129(a))				149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))				Other (specify)									Other (specify)									* Reduced by Basic Filing Fee Paid							SUBTOTAL (1)		\$345		2. EXTRA CLAIM FEES				<table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>34</td> <td>-20* = 14</td> <td>X 9 =</td> <td>126</td> </tr> <tr> <td>4</td> <td>-3* = 1</td> <td>X 39 =</td> <td>39</td> </tr> <tr> <td colspan="4">Multiple Dependent</td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	34	-20* = 14	X 9 =	126	4	-3* = 1	X 39 =	39	Multiple Dependent						or number of previously paid, if greater. For reissues, see below. <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td></td><td></td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>78</td><td>202</td><td>39</td><td></td><td></td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>260</td><td>204</td><td>130</td><td></td><td></td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>78</td><td>209</td><td>39</td><td></td><td></td><td>*Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td></td><td></td><td>*Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	103	18	203	9			Claims in excess of 20	102	78	202	39			Independent claims in excess of 3	104	260	204	130			Multiple dependent claim, if not paid	109	78	209	39			*Reissue independent claims over original patent	110	18	210	9			*Reissue claims in excess of 20 and over original patent			SUBTOTAL (2)		\$165		SUBTOTAL (3)		\$40	
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																																																																																																																																																																																	
		105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																																																																																																		
		127	50	227	25	Surcharge-late provisional filing fee or cover sheet																																																																																																																																																																																																																																																																																																																																		
		139	130	139	130	Non-English specification																																																																																																																																																																																																																																																																																																																																		
		147	2,520	147	2,520	For filing a request for reexamination																																																																																																																																																																																																																																																																																																																																		
		112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																																																																																																		
		113	1840*	113	1840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																																																		
		115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																																																																																																		
		116	380	216	190	Extension for reply within second month																																																																																																																																																																																																																																																																																																																																		
		117	870	217	435	Extension for reply within third month																																																																																																																																																																																																																																																																																																																																		
		118	1,360	218	680	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																																																		
		128	1,850	228	925	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																																																		
		119	300	219	150	Notice of Appeal																																																																																																																																																																																																																																																																																																																																		
		120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																																		
		121	260	221	130	Request for oral hearing																																																																																																																																																																																																																																																																																																																																		
		138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																																		
		140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																																		
		141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																																		
		142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																																		
		143	430	243	215	Design issue fee																																																																																																																																																																																																																																																																																																																																		
		144	580	244	290	Plant issue fee																																																																																																																																																																																																																																																																																																																																		
		122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																																		
		123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																																																																																																		
		126	240	126	240	Submission of Information Disclosure Statement																																																																																																																																																																																																																																																																																																																																		
		561	40	581	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																																																																																																																																																																																																	
		146	690	246	345	Filing a submission after final rejection (37 C.F.R. 1.129(a))																																																																																																																																																																																																																																																																																																																																		
		149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))																																																																																																																																																																																																																																																																																																																																		
		Other (specify)																																																																																																																																																																																																																																																																																																																																						
		Other (specify)																																																																																																																																																																																																																																																																																																																																						
		* Reduced by Basic Filing Fee Paid																																																																																																																																																																																																																																																																																																																																						
SUBTOTAL (1)		\$345																																																																																																																																																																																																																																																																																																																																						
2. EXTRA CLAIM FEES																																																																																																																																																																																																																																																																																																																																								
<table border="1"> <thead> <tr> <th>Total Claims</th> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>34</td> <td>-20* = 14</td> <td>X 9 =</td> <td>126</td> </tr> <tr> <td>4</td> <td>-3* = 1</td> <td>X 39 =</td> <td>39</td> </tr> <tr> <td colspan="4">Multiple Dependent</td> </tr> </tbody> </table>		Total Claims	Extra Claims	Fee from below	Fee Paid	34	-20* = 14	X 9 =	126	4	-3* = 1	X 39 =	39	Multiple Dependent																																																																																																																																																																																																																																																																																																																										
Total Claims	Extra Claims	Fee from below	Fee Paid																																																																																																																																																																																																																																																																																																																																					
34	-20* = 14	X 9 =	126																																																																																																																																																																																																																																																																																																																																					
4	-3* = 1	X 39 =	39																																																																																																																																																																																																																																																																																																																																					
Multiple Dependent																																																																																																																																																																																																																																																																																																																																								
or number of previously paid, if greater. For reissues, see below. <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr><td>103</td><td>18</td><td>203</td><td>9</td><td></td><td></td><td>Claims in excess of 20</td></tr> <tr><td>102</td><td>78</td><td>202</td><td>39</td><td></td><td></td><td>Independent claims in excess of 3</td></tr> <tr><td>104</td><td>260</td><td>204</td><td>130</td><td></td><td></td><td>Multiple dependent claim, if not paid</td></tr> <tr><td>109</td><td>78</td><td>209</td><td>39</td><td></td><td></td><td>*Reissue independent claims over original patent</td></tr> <tr><td>110</td><td>18</td><td>210</td><td>9</td><td></td><td></td><td>*Reissue claims in excess of 20 and over original patent</td></tr> </tbody> </table>		Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	103	18	203	9			Claims in excess of 20	102	78	202	39			Independent claims in excess of 3	104	260	204	130			Multiple dependent claim, if not paid	109	78	209	39			*Reissue independent claims over original patent	110	18	210	9			*Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																													
Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description																																																																																																																																																																																																																																																																																																																																		
103	18	203	9			Claims in excess of 20																																																																																																																																																																																																																																																																																																																																		
102	78	202	39			Independent claims in excess of 3																																																																																																																																																																																																																																																																																																																																		
104	260	204	130			Multiple dependent claim, if not paid																																																																																																																																																																																																																																																																																																																																		
109	78	209	39			*Reissue independent claims over original patent																																																																																																																																																																																																																																																																																																																																		
110	18	210	9			*Reissue claims in excess of 20 and over original patent																																																																																																																																																																																																																																																																																																																																		
SUBTOTAL (2)		\$165																																																																																																																																																																																																																																																																																																																																						
SUBTOTAL (3)		\$40																																																																																																																																																																																																																																																																																																																																						

SUBMITTED BY		Complete (if applicable)	
Name (print type)	Bruce W. DeKock	Registration No.	40,585
Signature		Telephone	(503) 227-5631
		Date	February 7, 2000

CERTIFICATE OF MAILING

BY "EXPRESS MAIL"



Express Mail No. EL399607525US

Date of Deposit: February 7, 2000

I hereby certify that the continuation-in-part patent application attached hereto entitled ELECTRONIC ACCESS CONTROL DEVICE (totaling 39 pages), together with 16 sheets of informal drawings, a declaration, an assignment, an assignment recordation form cover sheet, a power of attorney, a statement of status as small entity, a certificate under 37 CFR §3.73(b), a utility patent application transmittal form, a fee transmittal form (in duplicate), a check for \$550.00, a Supplemental Information Disclosure Statement, a Form PTO-1449, this Certificate of Mailing by Express Mail, and a return, acknowledgment postcard is being deposited with the United States Postal Service "Express Mail to Addressee" service on the date indicated above and is addressed to Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

  
DWIGHT BERGQUIST-MOODY